## Trustee Nominating Committee Office of the Assemblies 109 Day Hall Ithaca, NY 14853



trustee-elections@cornell.edu

#### Election Rules for the 2019 Student Trustee Election

Adopted by the Trustee Nominating Committee on Wednesday, 3 March 2010. Amended Thursday, 3 February 2011; Tuesday, 6 March 2012; Monday, 10 February 2014, January 21, 2016, January 15, 2019

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#### **Preamble**

Elections are the foundation upon which representative governance rests. These rules are designed to protect the rights of candidates, as well as members of the University community, and to ensure that the election process takes place in respectful and fair arena. Candidates and their supporters must follow all election guidelines outlined in this document. Failure to do so may result in disqualification of candidates by the Trustee Nominating Committee, hereafter referred to as the committee.

#### Section I: Candidate Information

#### 1.1. Eligibility

1. Candidates must meet all of the petition requirements by the petition deadline specified in the official calendar for the election in which they wish to run to be eligible to participate in that election as candidates.

2. The following rules apply to both **student and employee** candidates:

a. All candidates must attend mandatory meetings or send a proxy<sup>i</sup> on their behalf. If they fail to do so, they will be disqualified from the election.

b. All elected trustees are expected to remain in residence at the Ithaca or Geneva campus for the full duration of their terms.

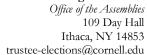
. Only the official petition forms issued by the Office of the Assemblies will be accepted.

3. The following rules apply only to **student** candidates:

a. Student candidates must be full-time students in residence at the Ithaca campus and be enrolled in or admitted to a course of study that will allow them to remain so until May in the final year of their two-year term as trustee. Students enrolled at the Geneva campus of the University are considered Ithaca students for the purposes of these rules. Undergraduate students may run for trustee in odd years and graduate or professional students may run for trustee in even years.

b. Each petition must have 300 valid, distinct signatures. Only signatures of those

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eligible to vote in the election shall be valid. It is recommended that candidates collect more than 300 signatures in the event that some cannot be validated.

- i. All eligible students –undergraduates, graduates and professional students– vote in each election, even if their constituency is not being elected that year.
- Candidates running for Student-elected Trustee may not run for any other office concurrently. This would include races within the Student Assembly and Class Councils.
- 4. The following rules apply only to **employee** candidates:
  - a. Employee candidates must work full-time or part-time in a non-exempt, exempt, or academic non-professorial staff category;
  - b. Employee candidates must work at the Ithaca or Geneva campus; and,
  - Employee candidates must plan to remain so for the duration of their four-year term as trustee.
- 5. Each petition must have a total of 300 valid, distinct signatures. Only signatures of those eligible to vote in the election shall be valid. It is recommended that candidates collect more than 300 signatures in the event that some cannot be validated.
- 6. All petitions may be reviewed in the Office of the Assemblies (109 Day Hall, Monday-Friday from 9am-12:15pm, 1pm-4:30pm) by any member of the community who wishes to examine them. Petitions may not be photocopied. The Office of the Assemblies will contact petitioners within 48 hours of the petitioning deadline if their petitions are deemed invalid.

#### 1.2. Online Registration Form

- 1. Each candidate must complete the online candidate background questions and statement. Statements will be posted at the Trustee elections website, used on the ballot, and published in campus publications.
- 2. The Office of the Assemblies, located in 109 Day Hall, will take photographs of candidates prior to the petitioning deadline on the calendar. These photos and other candidate information will appear in campus publications, on the web and on the ballots. Candidates may also submit their own photograph for use in these official materials, per the size/resolution restrictions on the registration form.

#### **Section II: Election Guidelines**

2.1. Maintaining a Fair Environment



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83	1.	Candidates and their supporters shall comply with the election rules stated here.
84 of	2	They shall not agests an intimidating anymon monthly against monthly and other and ideter
85 86	2.	They shall not create an intimidating or uncomfortable environment for other candidates,
87		students, or employees.
88	3.	They must notify the committee of any potential violations immediately after they occur by
89	Э.	emailing the Office of the Assemblies [assembly@cornell.edu].
90		chaining the Office of the Assembles [assembly@cornen.edu].
91	4.	They shall rectify any violations to the best of their ability upon notification by the
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93		committee.
94	5.	Candidates and their supporters may not interfere or interact with other people who are in
95	٥.	the process of voting and they must take reasonable measures to avoid the possibility or
96		appearance of such behavior. Specifically, candidates and their supporters may not:
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98		a. offer their personal computers or electronic devices for others to use in voting or
99		operate voting booths and kiosks;
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101		b. watch, speak with, or hover around people whom they know, or reasonably should
102		know, to be in the process of voting with electronic devices or otherwise;
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104		c. engage in campaigning activities at computer labs or in any other environment where
105		people appear to be in the process of voting; or,
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107		d. troubleshoot others' election difficulties or attempt to explain to others how to
108		prepare and cast a ballot.
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110	6.	Candidates and their supporters should direct those who report difficulty voting to the
111		Office of the Assemblies for support.
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113	7.	Candidates and their supporters may not exceed \$50 for out-of-pocket spending and value
114		of donations of materials and professional services. Candidates must submit a Candidate
115		Expenditure Statement Form along with any receipts and proof of fair market value to the
116		Office of the Assemblies, 109 Day Hall, by the deadline specified in the elections calendar.
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118	8.	Candidates may be reimbursed for up to \$50 of allowable campaign expenses. Common
119		allowable campaign expenses include: chalk, photocopies from on-campus vendors, domain
120		name fees, and web hosting fees. Candidates should receive preclearance on expenditures to
121		ensure that they are reimbursable. Only expenditures that are submitted on the official
122		candidate expenditure statement form with the original receipt will be reimbursed.
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124	9.	Candidates and their supporters may not as an explicit or implicit condition for initiation to,
125		admission into, affiliation with, or continued membership in a group or organization compel

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126 any person to endorse their candidacies, assist their campaigns, or act as supporters. 127 128 2.2. Early Campaigning 129 130 1. Candidates and their supporters must refrain from the following campaign activities until 131 campaigning officially begins: 132 133 a. disseminating printed or electronic campaign materials, 134 b. advertising candidacy by chalk, poster, electronic, or other means, or, 135 136 137 making speeches or statements about their candidacy to student organizations, 138 employee organizations, campus organizations, university departments, or classes. 139 140 2. Candidates and their supporters should consult with the Office of the Assemblies if they are unsure whether an action they are considering or undertaken might constitute early 141 142 campaigning. 143 2.3. Compliance with Campus Life Policies and Conduct Codes 144 145 146 1. Candidates and supporters acting on their behalf must be aware of and comply with all applicable campus policies and conduct codes, including but not limited to: 147 148 a. University Postering & Chalking Policy, 149 150 151 b. Campus Life policy for posting in Residence Halls, 152 153 c. Dining hall policies, including a prohibition on distributing printed campaign materials in dining halls without permission from authorized staff, and, 154 155 d. Campus Code of Conduct. 156 157 158 2. Violations can result in disciplinary referral by officials responsible for their enforcement. In addition to any sanctions available to such officials under the terms of such policies and 159 160 codes, officials may discipline candidates who violate these policies as provided in these rules and the committee may disqualify candidates in response. 161 162 163 2.4. Campus Mail 164 Use of Campus Mail to distribute campaign materials is prohibited. 165 166 167 2.5 Standards for Petitioning and Campaigning in Classes and Residence Halls 168 The following standards apply to all potential candidates and nominated candidates. These standards

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are intended to avoid conflicts of interest and to provide fairness in access to opportunities.

- 1. No potential candidate may solicit nomination petition signatures immediately before, during, or immediately after a class for which they are a Teaching Assistant (TA) or grader. For reasons of fairness, no other potential candidates may solicit signatures in those classes, either. Nor may TAs solicit signatures from their own students when they encounter them in other situations. (The TNC recognizes that other students soliciting signatures on behalf of potential candidates may not know who is a student in a particular course and will not penalize potential candidates where there is no intention to violate the standard.)
- 2. No potential candidate may solicit nomination petition signatures in a residence hall where s/he is a Resident Advisor (RA) or Graduate Residential Fellow (GRF). For reasons of fairness, no other potential candidates may solicit signatures in those residence halls, either. Nor may RAs and GRFs solicit signatures from students in their residence hall who they encounter outside the residence hall. (The TNC recognizes that other students soliciting signatures on behalf of potential candidates may not know who lives in a particular residence hall and will not penalize potential candidates where there is no intention to violate the standard.)
- 3. Once nominated, candidates may campaign to classes for which they TA (but not to the specific sections that they lead) or in residence halls where they serve as RAs or GRFs. In classes, candidates must have permission of the instructor and must include information about the overall election (so that students can look for information on other candidates).

As these are new standards, the TNC will take into account intent and good faith in assessing particular claims of violations.

#### Section III: Petition and Campaign Penalties

- 1. The committee shall determine whether or not a candidate has committed a campaign violation. Campaign violations may result in appropriate remedial measures determined by the committee up to and including disqualification of a candidate. Should the committee decide that a violation or series of violations have been committed on such a scale as to alter the fairness of the election, it may disqualify a candidate by two-thirds majority vote of members present. Any concerns or complaints may be sent to the Office of the Assemblies [assembly@cornell.edu].
- 2. All campaign materials, either physical or electronic, published by candidates and/or their supporters are to promote only the Student Trustee candidate. Any materials that include the names, positions, or any other information regarding other elections are strictly prohibited.
- 3. All candidates are expected to act in conformity with all standing rules and regulations of the University, including the *Campus Code of Conduct*:

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[https://www.dfa.cornell.edu/sites/default/files/policy/CCC.pdf.] Violations of the Code should be referred to the Judicial Administrator for appropriate action.

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#### **Section IV: Election Procedures**

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#### 4.1. The Elections Calendar

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1. The committee shall adopt a calendar for spring elections. The committee may adopt

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2. Consideration shall be given to any religious holidays that may fall during the election period, so that the right to free religious observance is afforded to all potential candidates.

different calendars for the student and employee elections, if both are held in the same

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3. A public Candidate Forum will be held for trustee candidates.

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#### 4.2. The Trustee Nominating Committee

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1. There shall be a nominating committee consisting of:

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a. Up to four current or former trustees, appointed by the Board of Trustees. One of whom shall be a current or former faculty-elected trustee, up to two of whom shall be the incumbent student trustees, and one of whom shall be a current or former employee-elected trustee. An employee-elected trustee or a student-elected trustee who is a candidate for reelection shall not be appointed.

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b. Two undergraduate student members, named by the Student Assembly, and one graduate or professional student member named by the Graduate and Professional Student Assembly, who are not candidates for the trustee position.

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c. In the years when there is an employee-elected trustee vacancy, three employee members named by the Employee Assembly. These individuals shall not be candidates for the trustee position, and shall, insofar as possible, represent statutory and endowed, exempt and nonexempt employees.

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d. Chairperson - The Chairperson shall be elected by the Board of Trustees from among the trustee members. A Vice Chairperson may also be appointed.

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2. All members of the committee shall serve one-year terms, starting as soon as possible at the beginning of the academic calendar year and ending on the last day of scheduled exams for that academic calendar year.

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3. Trustee Nominating Committee members are expected to abide by the highest standards of personal conduct and integrity. Members must excuse themselves from serving on the Trustee Nominating Committee if they plan to take part in any campaign, including, but not limited to, running as a candidate, participating on a campaign team, and/or participating in any activity or deliberation associated with the endorsement of a candidate. All conflicts

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should be considered and resolved before the election cycle begins. Members should also recuse themselves from any committee deliberation that may present a conflict of interest.

- 4. The committee shall meet as soon as possible after each challenge deadline.
- 5. The committee shall verify election results.

#### 4.3. The Office of the Assemblies

- 1. The Office of the Assemblies by delegation from the committee shall prepare all printed material and distribute election materials.
- 2. The Office of the Assemblies shall validate petitions as follows:
  - a. Check each petition for the required number of signatures and validate candidates and signatures as to eligibility to sign petitions.
  - b. Bring any petitions it finds invalid to the committee for action.
  - c. Make petitions available to any member of the community who wishes to examine them.
- 3. The Office of the Assemblies will set a deadline by which students must be fully registered to be eligible to vote. Only full-time students who have no holds on their registration before this deadline may vote in the election.

#### 4.4. Conduction of Election and Tabulation

- 1. The order in which names appear on the ballot shall be randomly selected.
- 2. The Office of the Assemblies will tabulate votes using the Hare System.
- 3. The Office of the Assemblies will post preliminary results as soon as possible after the committee has ruled on any challenges.
- 4. A printout of election results shall be available in the Office of the Assemblies, 109 Day Hall, for one year.

#### 4.5. Challenges

- 1. Any member of the Cornell community, except members of the committee, may submit a challenge, and all challenges are public documents.
- 2. Petition and election challenges must be submitted to the Office of the Assemblies, 109 Day Hall, by the petition deadlines specified in the elections calendar.

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298 299	3.	No challenges or further supporting documentation will be accepted after these deadlines.
300	4.	. Challenges must be in writing and accompanied by the following supporting
301		documentation:
302		a. challenger's name
303		b. candidate's name
304		c. date of challenge
305		d. reason for challenge and supporting evidence
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307	5.	The Trustee Nominating Committee should be prepared to meet immediately following the
308		petition and election challenge deadlines to rule on all challenges.
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310	6.	Anyone who has submitted or is named in an election challenge shall be notified by the
311		Office of the Assemblies 24 hours in advance of any Trustee Nominating Committee
312		meeting held to consider that particular challenge. Written statements may be addressed to
313		the Trustee Nominating Committee prior to their meeting.
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316		the challenge. For each challenge, the committee will determine:
317		a. whether a preponderance of evidence substantiates each alleged violation; and,
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319		b. which, if any, substantiated violations or combination thereof altered the fairness of
320		the election to the extent the challenged candidate should be disqualified.
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323		candidate all evidence received, any violations substantiated, and any decisions to disqualify
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326 327	9.	A challenged candidate may review challenges in the Office of the Assemblies, 109 Day Hall
		and address written statements in response to the committee. The deadline for responses is set in the elections calendar.
328 329		set in the elections calendar.
3 <b>3</b> 9	10	An appeal progress is provided to assure shalloness are fairly decided in a manner consistent
331	10	. An appeal process is provided to assure challenges are fairly decided in a manner consistent with these rules. Appeals must be submitted to the Judicial Codes Counselor (the reviewer)
332		by email no more than 24 hours after the preliminary report has been provided to parties to
333		the challenge. The reviewer must review the preliminary report and associated documents
334		and issue a decision that either upholds the preliminary determination or requests
335		reconsideration. The reviewer must provide a copy of the decision to members of the
336		committee and all parties to the challenge. The reviewer may request that the committee
337		reconsiders its preliminary determination if they find:
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339		a. the committee committed a prejudicial error in interpreting these rules;
		a. The committee committee a projection in interpreting these rules,

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- b. the committee rendered a decision clearly against the evidence; or
- c. new evidence was discovered after the preliminary determination and could not have readily been discovered before the determination, which would change the outcome.
- 11. The determination of the committee after resolution of appeals shall be final. The committee will release a final, public report identifying all violations substantiated and any decisions to disqualify challenged candidates.
- 12. Members of the committee and parties to a challenge may not share the contents of challenges, evidence, or decisions submitted to or received from the committee, except when explicitly permitted by these rules or by the committee. Unauthorized disclosure of confidential information may result in a disciplinary referral.

<sup>&</sup>lt;sup>i</sup> The proxy must identify the candidate whom he or she represents when attendance is taken.

<sup>&</sup>lt;sup>ii</sup> Professional services are defined as services provided in exchange for financial compensation. Services donated by current Cornell students are excluded from this limitation.